

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.63(b))

Attorney Docket No.
01489

Total Pages :

First Named Inventor or Application Identifier

Katsuya WATANABE et al.

Express Mail Label No.:

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(Submit on original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages - 148]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total sheets - 29]
4. ☒ Oath or Declaration [Total Pages - 3]
 - a.1. ☐ Newly executed (original or copy)
 - a.2. ☐ Unexecuted
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference
(usable if Box 4b is checked)
The entire disclosure of the prior application, from which
a copy of the oath or declaration is supplied under Box
4b, is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

6. Microfiche Computer Program (Appendix)
7. ☐ Nucleotide end/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement (IDS)/PTO-1449
☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Small Entity Statement(s)
☐ Statement filed in prior application, Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other ☐ Claim for Priority
☐ Change of Address

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior Application No 08/688,294.

18. CORRESPONDENCE ADDRESS

WENDEROTH, LIND & PONACK
2033 "K" Street, N.W.
Suite 800
Washington, D.C. 20006
Phone: (202) 721-8200
Fax: (202) 721-8250

October 29, 1999

**THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0076**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Katsuya WATANABE et al.

Serial No. [Not yet assigned]

Filed October 29, 1999

Optical Disk Apparatus
(Rule 1.53(b) Divisional
of Serial No. 08/688,294,
Filed July 29, 1996)

Attn: Application Branch

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$1,306.00 to cover Patent Office fees relating to filing the following attached papers:

Rule 53(b) application \$1,306.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Katsuya WATANABE et al.

By

Dhiren R. Odedra
Dhiren R. Odedra

Registration No. 41,227

Attorney for Applicants

DRO/klw

WENDEROTH, LIND & PONACK, L.L.P.

2033 K St., N.W., Suite 800

Washington, D.C. 20006

Telephone (202) 721-8200

October 29, 1999

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

COPY, FINANCE DEPT., USP10
CHARGE DEFICIENCIES
TO DEPOSIT ACCT. # 23-0975

[Check No. 35495]

99_1173

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Katsuya WATANABE et al. :
Serial No. [Not yet assigned] : **Attn: Application Branch**
Filed October 29, 1999 :
Optical Disk Apparatus
(Rule 1.53(b) Divisional
of Serial No. 08/688,294,
Filed July 29, 1996)



CLAIM OF PRIORITY UNDER 35 USC 119

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Applicants in the above-entitled application hereby claim the date of priority under the International Convention of Japanese Patent Application No. 7-191680, filed July 27, 1995, and Patent Application No. 8-081245, filed April 3, 1996, as acknowledged in the Declaration of this application.

A certified copy of said Japanese Patent Applications are of record in parent application Serial No. 08/688,294, filed July 29, 1996.

Respectfully submitted,

Katsuya WATANABE et al.

By 

Dhiren R. Oedra
Registration No. 41,227
Attorney for Applicants

DRO/klw
Washington, D.C. 20006
Telephone (202) 721-8200
October 29, 1999

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
COUNT NO. 23-0875

ATTY DOCKET #: 01489

Due Date: n/a

MATTER: 99-1173/DRO/01489

Applicant: Katsuya WATANABE et al.

Serial No.: [Not yet assigned] Filing Date: October 29, 1999

Title: Optical Disk Apparatus

Receipt of the following papers is acknowledged:

1. Utility Patent Application Transmittal (Rule 53(b) divisional of USSN 08/688,297, filed July 29, 1996)
2. Patent Office Fee Transmittal Form [in duplicate]
3. Specification comprising 148 pages (including claims 1-33 and abstract)
4. ~~28~~ ¹ sheets of drawings (Figs. 1-29)
5. Copy of executed Declaration and Power of Attorney from parent application
6. Information Disclosure Statement and Form PTO 1449
7. Preliminary Amendment w/ substitute specification and copy of marked-up original specification
8. Claim of Priority Under 35 USC 119
9. Change of Address
10. Check in the amount of \$1,306.00

1525 U.S. PTO
09/430040

Date: October 29, 1999

Attorney: DRO/klw 10/29/99

[Check No. 354951]